



PATENT  
STL920000093US1  
0055.0038

2171 #2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

A.T. Yaung

Serial No.: 09/918,181

Filed: July 30, 2001

For: METHOD, SYSTEM, AND  
PROGRAM FOR SELECTING  
ONE USER TO ASSIGN A  
WORK ITEM IN A WORKFLOW

Examiner: Not Yet Assigned

Art Unit: 2171

**RECEIVED**

**DEC 28 2001**

**Technology Center 2100**

Assistant Commissioner of Patents  
Washington, D.C. 20231

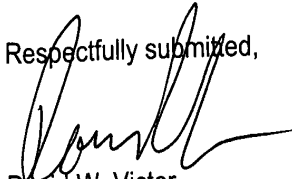
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



David W. Victor

Reg. No. 39,867

KONRAD RAYNES VICTOR & MANN, LLP

315 S. Beverly Drive, Suite 210

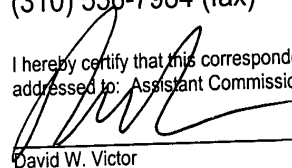
Beverly Hills, CA 90212

(310) 556-7983

(310) 556-7984 (fax)

Dated: December 13, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on December 13, 2001.



David W. Victor

- 12/13/01  
(Date)

FORM PTO-1449

Docket Number  
STL920000093US1Application Number  
09/918,181

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

DEC 26 2001

(Use several sheets if necessary)

Applicant  
Alan Tsui-I YoungFiling Date  
July 30, 2001Group Art Unit  
2152

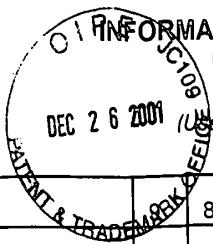
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
	5	3	0	1	3	2	0	04/05/94	McAtee et al.			
	5	5	3	5	3	2	2	07/09/96	Hecht			
	5	5	7	2	6	7	3	11/05/96	Shurts			
	5	5	9	6	7	4	4	01/21/97	Dao et al.			
	5	6	3	4	0	5	3	05/27/97	Noble et al.			
	5	6	3	4	1	2	7	05/27/97	Cloud et al.			
	5	7	2	1	9	1	2	02/24/98	Stepczyk et al.			
	5	7	2	1	9	1	3	02/24/98	Ackroff et al.			
	5	7	3	4	8	3	7	03/31/98	Flores et al.			
	5	7	4	5	6	8	3	04/28/98	Lee et al.			
	5	7	5	2	0	2	7	05/12/98	Familiar			
	5	7	7	4	6	6	1	06/30/98	Chatterjee et al.			
	5	7	9	4	2	5	0	08/11/98	Cariño, Jr. et al.			
	5	8	2	6	2	3	9	10/20/98	Du et al.			
	5	8	6	0	0	6	6	01/12/99	Rouse			
	5	8	6	4	8	4	3	01/26/99	Cariño, Jr. et al.			
	5	8	7	3	0	8	3	02/16/99	Jones et al.			
	5	9	1	3	2	1	4	06/15/99	Madnick et al.			
	5	9	2	6	6	3	6	07/20/99	Lam et al.			
	5	9	3	0	5	1	2	07/27/99	Boden et al.			
	5	9	3	7	3	8	8	08/10/99	Davis et al.			
	5	9	8	7	4	2	2	11/16/99	Buzsaki			
	6	0	4	4	3	7	8	03/28/00	Gladney			
	6	0	6	5	0	0	9	05/16/00	Leymann et al.			
	6	0	7	3	1	0	9	06/06/00	Flores et al.			
	6	0	7	3	1	1	1	06/06/00	Leymann et al.			
	6	0	1	2	0	6	7	01/04/00	Sarkar			
	6	1	1	5	6	4	6	09/05/00	Fizsman et al.			

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS													
	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES      NO	
	0	0	1	4	6	1	8	16 Mar 00	PCT			FULL	

FORM PTO-1449

Docket Number  
STL920000093US1Application Number  
09/918,181INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

DEC 26 2001

(Use several sheets if necessary)

Applicant  
Alan Tsui-I Yang

RECEIVED

DEC 28 2001

Filing Date  
July 30, 2001Group Art Unit  
2152 Technology Center 2100

		8	2	6	7	5		13 Aug 99	EP			FULL	
	9	9	6	3	4	6	9	9 Dec 99	PCT			FULL	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<input checked="" type="checkbox"/>	U.S. Patent Application Serial No.# 09/894,074, filed on June 28, 2001, entitled, "Method, System, and Program for Generating a Workflow", invented by WF Miller and AT Yang.
<input checked="" type="checkbox"/>	U.S. Patent Application Serial No.# 09/894,413, filed on June 28, 2001, entitled, "Method, System, and Program for Using Objects in Data Stores During Execution of a Workflow", invented by JJ Lin; WF Miller; and AT Yang.
<input checked="" type="checkbox"/>	U.S. Patent Application Serial No.# 09/894,076, filed on June 28, 2001, entitled, "Method, System, and Program for Executing a Workflow", invented by AT Yang.
<input checked="" type="checkbox"/>	Galtzur, Z. et al. "Managing Workflow Using Database Techniques", IBM Technical Disclosure Bulletin, Vol. 39, No. 08, August 1996, pp. 199-201.
<input checked="" type="checkbox"/>	Yong, Y.M. "Template-Driven Document-Exchange Specification", IBM Technical Disclosure Bulletin, Vol. 39, No. 11, November 1996, pp. 173-178.
<input checked="" type="checkbox"/>	Duscher, R. et al. "Audit Trail Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4
<input checked="" type="checkbox"/>	Dr. Leymann, F. et al. "Context Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4.
<input checked="" type="checkbox"/>	Sluiman, H. "A Relational Schema to Support Task and Workflow, Data Collection and Analysis", pp. 1-3.
<input checked="" type="checkbox"/>	IBM Corp. "Chapter 4. Introducing Enterprise Information Portal Workflow" from <u>Managing Enterprise Information Portal</u> , IBM Enterprise Information Portal for Multiplatforms, Version 7.1, #SC27-0875-00, Product Numbers 5697-G29 and 5697-G31, August 2000, pp. iii-iv and pp. 39-45.
<input checked="" type="checkbox"/>	IBM, Corp. "Concepts and Architecture" IBM MQSeries Workflow, Version 3.3, #GH12-6285-03, Product Number 5697-FM3, March 2001, pp. iii-58.
<input checked="" type="checkbox"/>	IBM, Corp. "User's Guide for the Work Management Builder" IBM ImagePlus Workfolder Application Facility for AS/400, Version 4 Release 1, #SC34-4587-00, Program Number 5733-A18, September 1997, pp. iii-119.
<input checked="" type="checkbox"/>	Su, SYW et al. "An Extensible Knowledge Base Management System for Supporting Rule-based Interoperability among Heterogeneous Systems" 1995, ACM # 0-89791-812-6/95/11, pp. 1-10.
<input checked="" type="checkbox"/>	Johansson, SE et al. "Expert Workflow, Building Knowledge-Based Workflow Systems with Object Technology", 1997, pp. 45-49.
<input checked="" type="checkbox"/>	Leymann, F. et al. "Workflow-based Applications" [online], Vol. 36, No. 1 - Application Development, pp. 1-22, plus 9 pages of linked Figures (drawings), and 2 pages of "Cited References and Notes". [Retrieved on 17 May 01]. Retrieved from the Internet at <URL: <a href="http://www.research.ibm.com/journal/sj/361/leymann.html">http://www.research.ibm.com/journal/sj/361/leymann.html</a> >
<input checked="" type="checkbox"/>	Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.